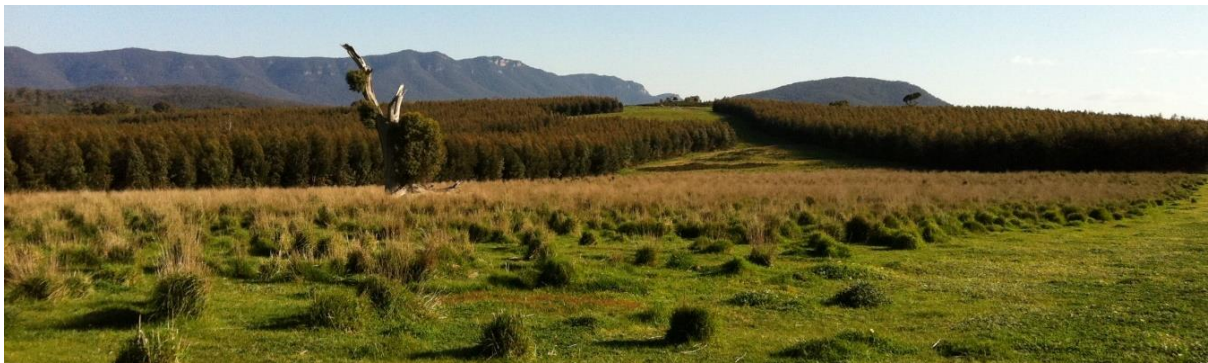


Catchment-scale water balance

Lumped models and hydrologic spaces

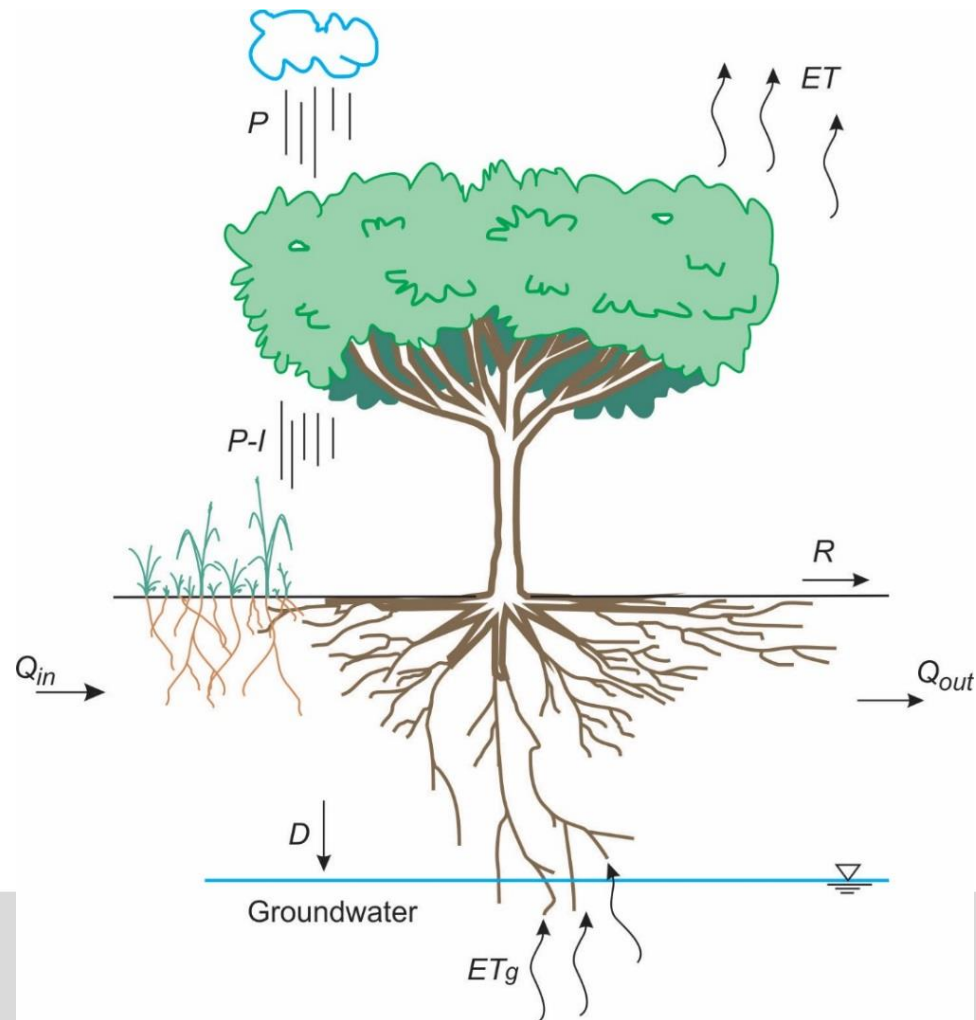
Edoardo Daly

Firenze, 21 October, 2019



ECO-HYDROLOGY

The science which seeks to describe the hydrologic mechanisms that underlie ecologic patterns and processes (Rodriguez-Iturbe, *Water Resour. Res.*, 2000)



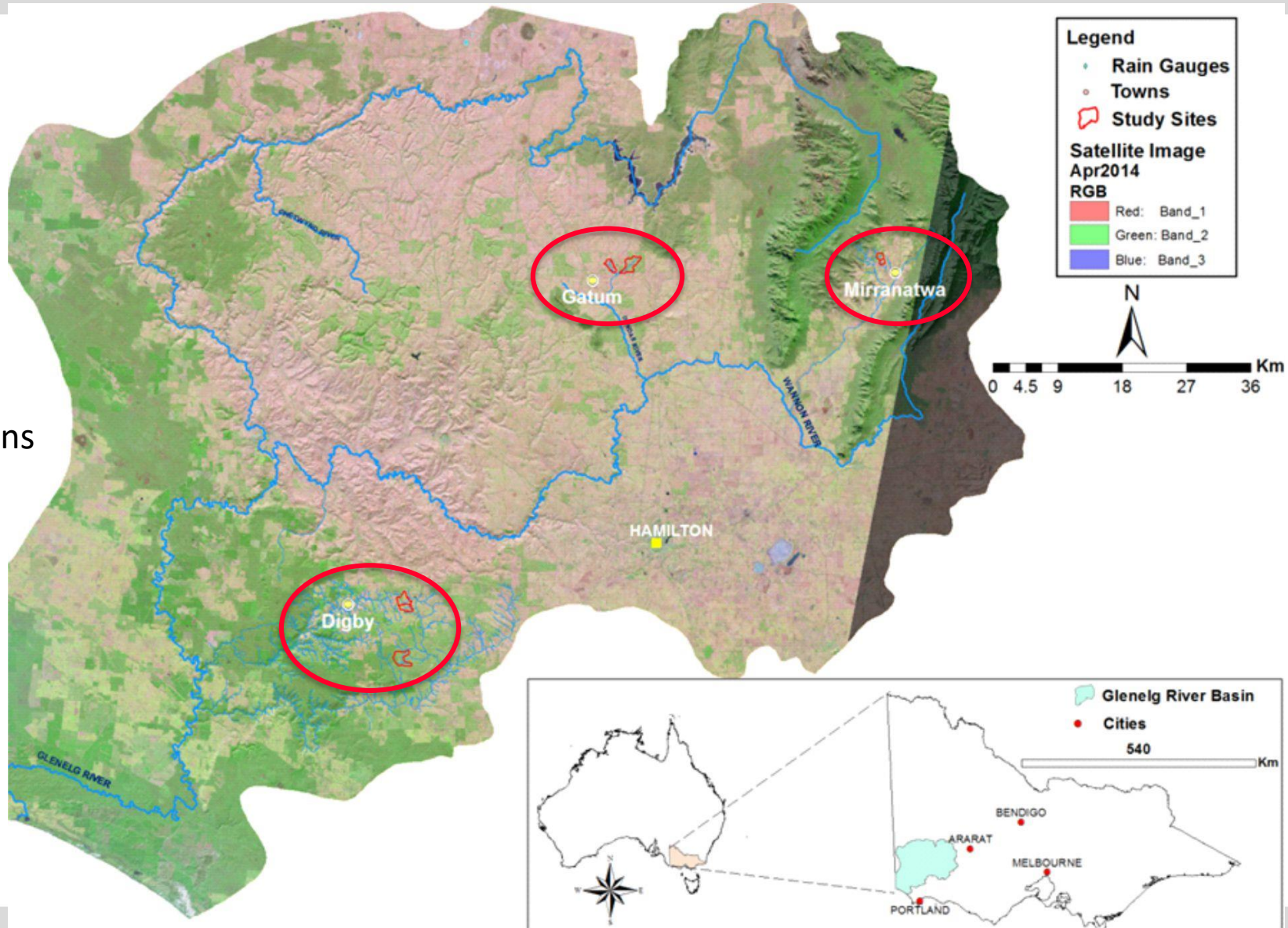
WATER-CARBON TRADE-OFFS IN PRODUCTIVE RURAL CATCHMENTS

Understand how different land uses affect catchment water balance and biomass productivity

- to estimate the trade-off between carbon sequestration and water resources related to pasture and blue gum plantation
- to develop hydrological models at both plot and catchment scales for land-use planning and water management



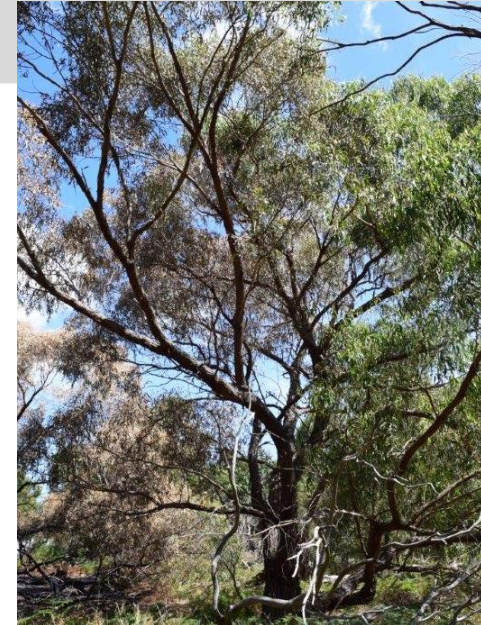
CATCHMENT STUDY



-4 pastures
-3 plantations

NATURAL URBAN RESERVES

- Link water resources and vegetation health in native, urban reserves
 - Test a set of measurement methods (in-situ and remote sensing) to relate water use with tree growth and biodiversity in urban reserves
 - Develop models to assist with the management of urban reserves and parks



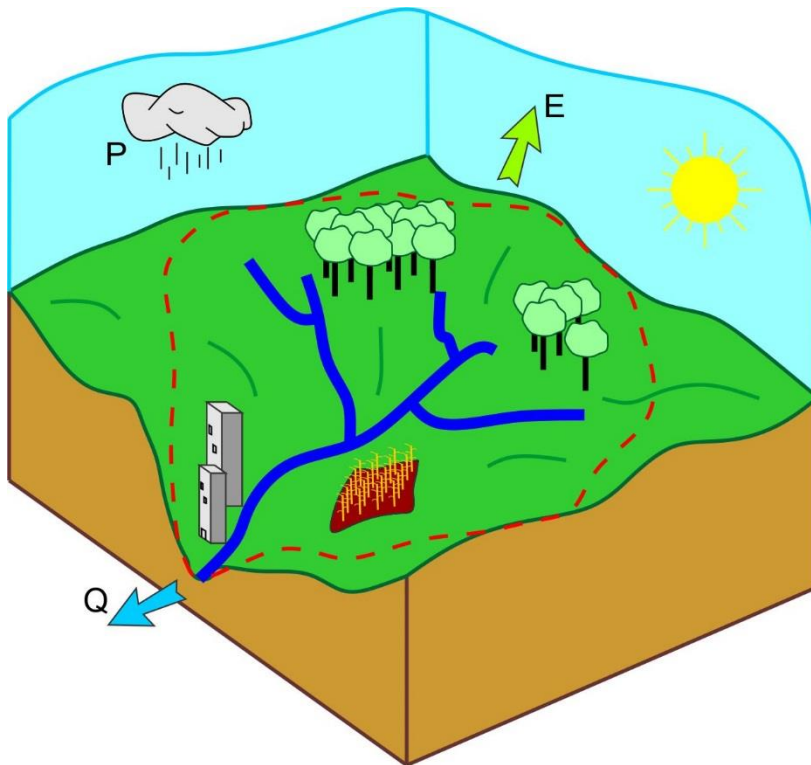
Workshop on Thursday, October 24, 11 am

Catchment water balance

Beyond current frameworks



- Catchment water balance is a key issue
 - Understanding and predicting how catchments store and release water



On the long term, the water balance becomes

$$P = E + Q$$

Looking for Hydrologic Laws

A double paradox in catchment hydrology and geochemistry

JAMES C. GARDNER

Department of Engineering Hydrology,

Hydrol. Earth Syst. Sci., 21, 3701–3713, 2017

<https://doi.org/10.5194/hess-21-3701-2017>

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Hydrology and
Earth System
Sciences



Scaling, similarity, and the fourth paradigm for hydrology

Christa D. Peters-Lidard¹, Martyn Clark², Luis Samaniego³, Niko E. C. Verhoest⁴, Tim van Emmerik⁵, Remko Uijlenhoef⁶, Kevin Acheng⁷, Trenton E. Franz⁸, and Ross Woods⁹

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Abstract. In this synthesis paper addressing hydrologic scaling and similarity, we posit that roadblocks in the search for universal laws of hydrology are hindered by our focus on computational simulation (the third paradigm) and assert that it is time for hydrology to embrace a fourth paradigm of data-intensive science. Advances in information-based hydrologic

The search for regularities in hydrologic relations

WATER RESOURCES RESEARCH

Moving beyond heterogeneity and A new vision for watershed hydrology

J. J. McDonnell,^{1,2} M. Sivapalan,³ K. Vaché,⁴
C. Hinz,⁸ R. Hooper,⁹ J. Kirchner,¹⁰ M. L. R.

Received 28 August 2006; revised 14 March 2007; accepted 1

[1] Field studies in watershed hydrology corroborate the enormous heterogeneity and complexity of rainfall-runoff in watersheds, in different hydroclimatic regimes. The inability to generalize these findings to ungauged

from small-scale theories. *Doog* change was equivalent to a combination of top-down and bottom-up processes [Gardner, 2005]. His vision was a search for the dependence of landscape processes on landscape responses. Some twenty years later, a positive vision presented in that paper is relevant and, unfortunately, very



- Mikhail Ivanovich Budyko (1920–2001)
- Developed a framework for long-term catchment-scale water balance
 - Two assumptions
 1. Steady state
 2. Very large catchments

$$P = E + Q$$
$$E = f(P, E_0)$$

P – average annual precipitation

E – average annual evapotranspiration

Q – average annual streamflow

E_0 – average annual potential evapotranspiration

Advanced Review

Mikhail Budyko's (1920–2001) contributions to Global Climate Science: from heat balances to climate change and global ecology

Jonathan D. Oldfield*

Edited by Matthias Heymann, Domain Editor, and Mike Hulme, Editor-in-Chief

WIREs Clim Change 2016, 7:682–692. doi: 10.1002/wcc.412



BUDYKO FRAMEWORK (BUDYKO, CLIMATE AND LIFE, 1974)

$$E = f(P, E_0)$$

Dimensional analysis
(Buckingham- Π theorem)

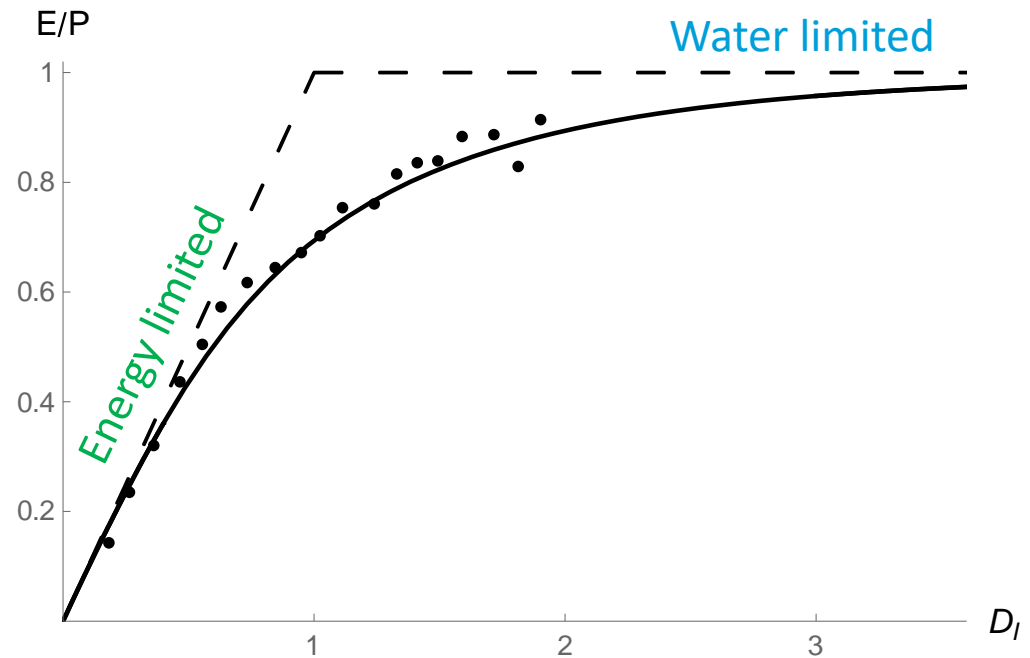


$$\frac{E}{P} = f_B \left(\frac{E_0}{P} \right) = f_B (D_I)$$

Dryness Index

$$D_I \rightarrow +\infty; \quad \frac{E}{P} \rightarrow 1$$

$$D_I \rightarrow 0; \quad \frac{E}{P} \rightarrow D_I$$



TURC FRAMEWORK (ANNALES AGRONOMIQUES, 1954)

$$E = f(P, E_0)$$

Dimensional analysis
(Buckingham- Π theorem)

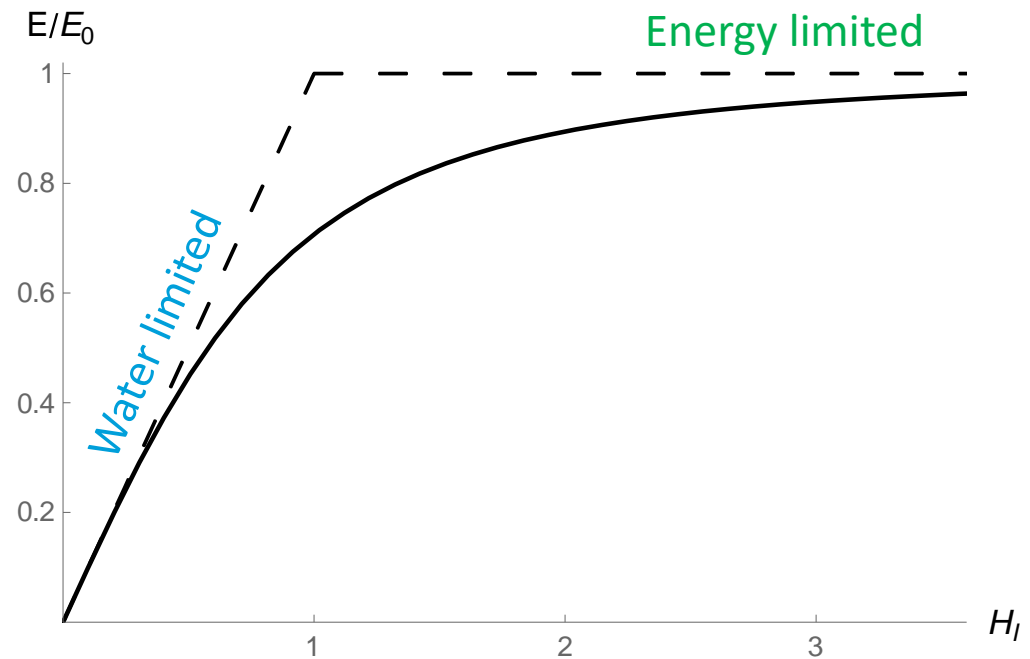


$$\frac{E}{E_0} = f_T \left(\frac{P}{E_0} \right) = f_T(H_I)$$

Humidity Index

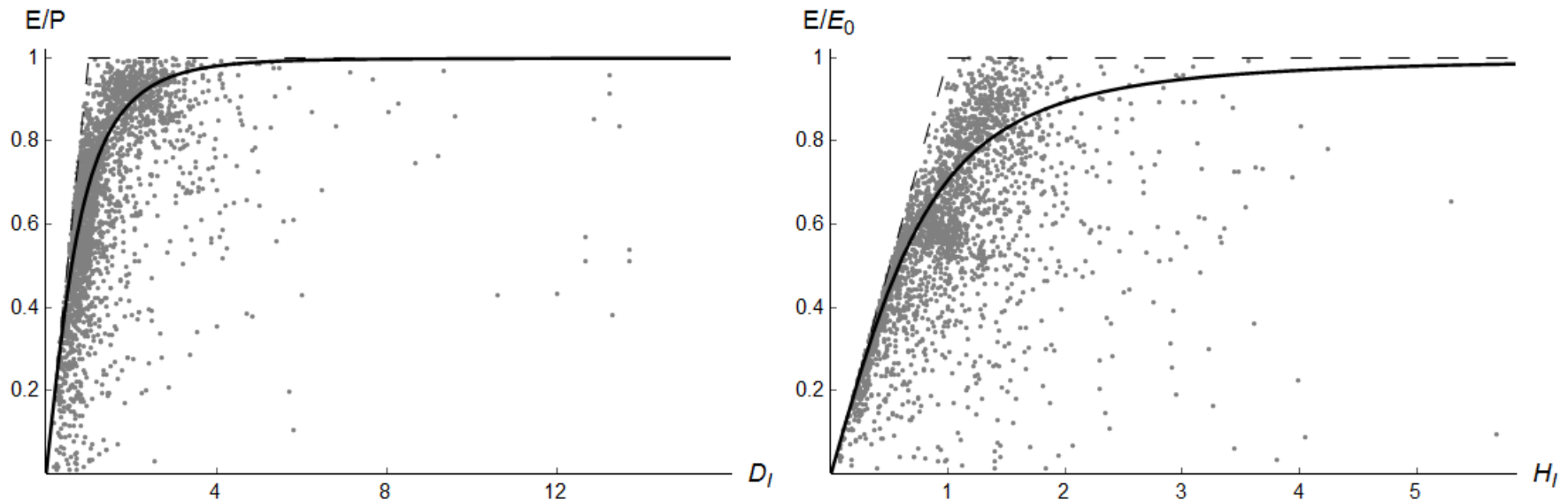
$$H_I \rightarrow +\infty; \quad \frac{E}{E_0} \rightarrow 1$$

$$H_I \rightarrow 0; \quad \frac{E}{E_0} \rightarrow H_I$$



SMALL CATCHMENTS

- Data from catchments worldwide do not follow the theoretical frameworks
- Climatic factors are not the only ones driving the water balance of small catchments



Padron et al., *Water Resources Research*, 2017

CURRENT POPULAR MODELS

Fu (Zhang et al., *Water Resources Research*, 2004)

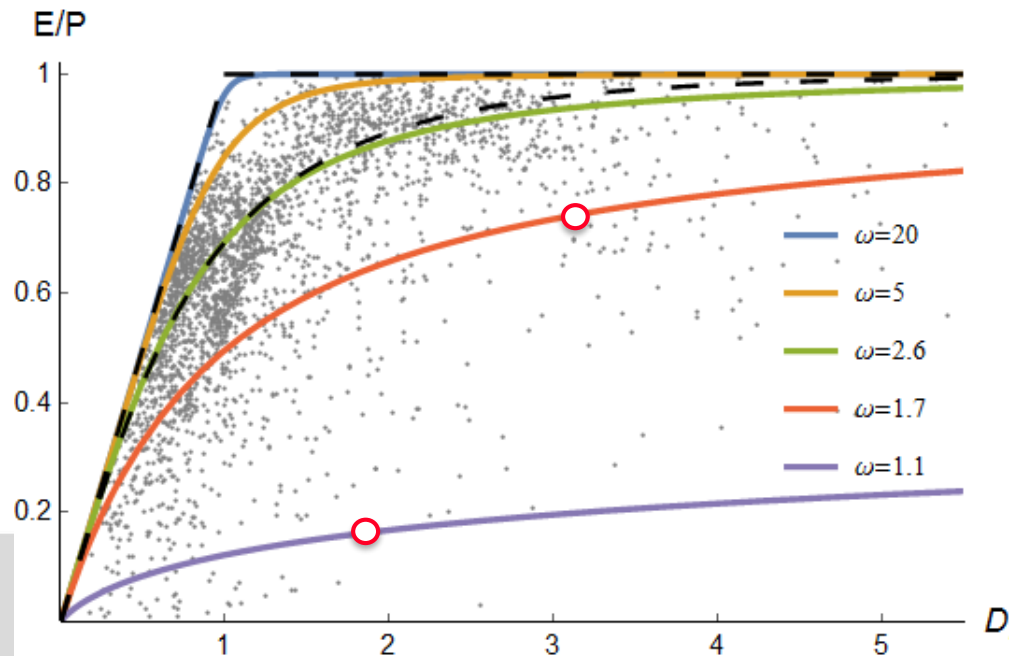
$$\frac{E}{P} = 1 + D_I - (1 + D_I^\omega)^\omega$$

$$\frac{E}{E_0} = 1 + H_I - (1 + H_I^\omega)^\omega$$

MCY (Choudhury, *Journal of Hydrology*, 1999)

$$\frac{E}{P} = \frac{1}{(1 + D_I^{-n})^{1/n}}$$

$$\frac{E}{E_0} = \frac{1}{(1 + H_I^{-n})^{1/n}}$$



- Can we improve the Budyko and Turc frameworks?
- Can we overcome limitations of current approaches?
 - **Climate** is the **only forcing** of the water balance
 - **Parameters** in current models **do not have a clear physical meaning** and thus **cannot be measured** or estimated from measurements



Amilcare Porporato



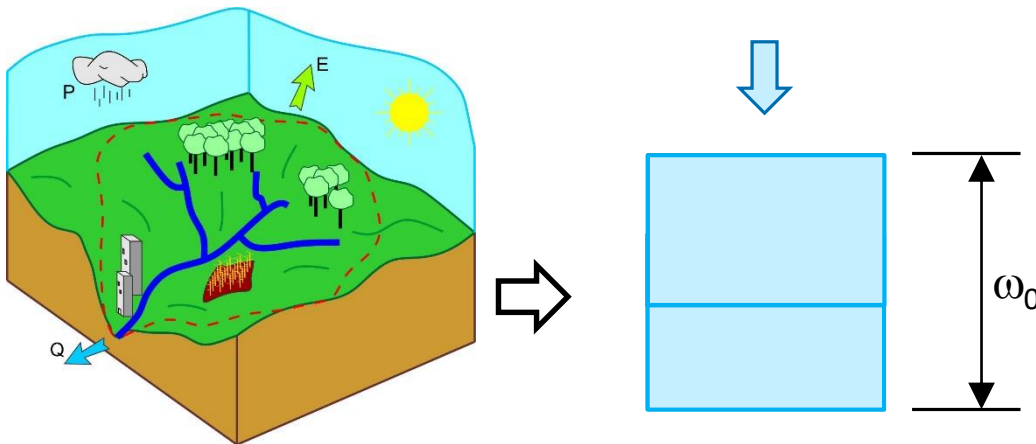
Salvatore Calabrese



Jun Yin

ADDING STORAGE TO THE FRAMEWORK

- Long-term water balance (steady state)
- Consider a catchment as a finite capacity
- Key factors driving E
 - P: on the long term is the only supply of water
 - E_0 : is the maximum demand of water from the atmosphere
 - Φ : maximum amount of water that can be stored within the catchment to supply E (**Maximum Storage Rate**)



$$\Phi = N_P \omega_0$$

- ω_0 is a depth representing the maximum storage, calculated from soil type and land use
- N_P is the average number of rainfall events in a year

HYDROLOGICAL SPACES

$$E = f(P, E_0, \Phi)$$

Dimensional analysis
(Buckingham- Π theorem)



$$\frac{E}{P} = f_B \left(\frac{E_0}{P}, \frac{\Phi}{P} \right) = f_B(D_I, \gamma_P)$$

$$\frac{E}{E_0} = f_T \left(\frac{P}{E_0}, \frac{\Phi}{E_0} \right) = f_T(H_I, \gamma_E)$$

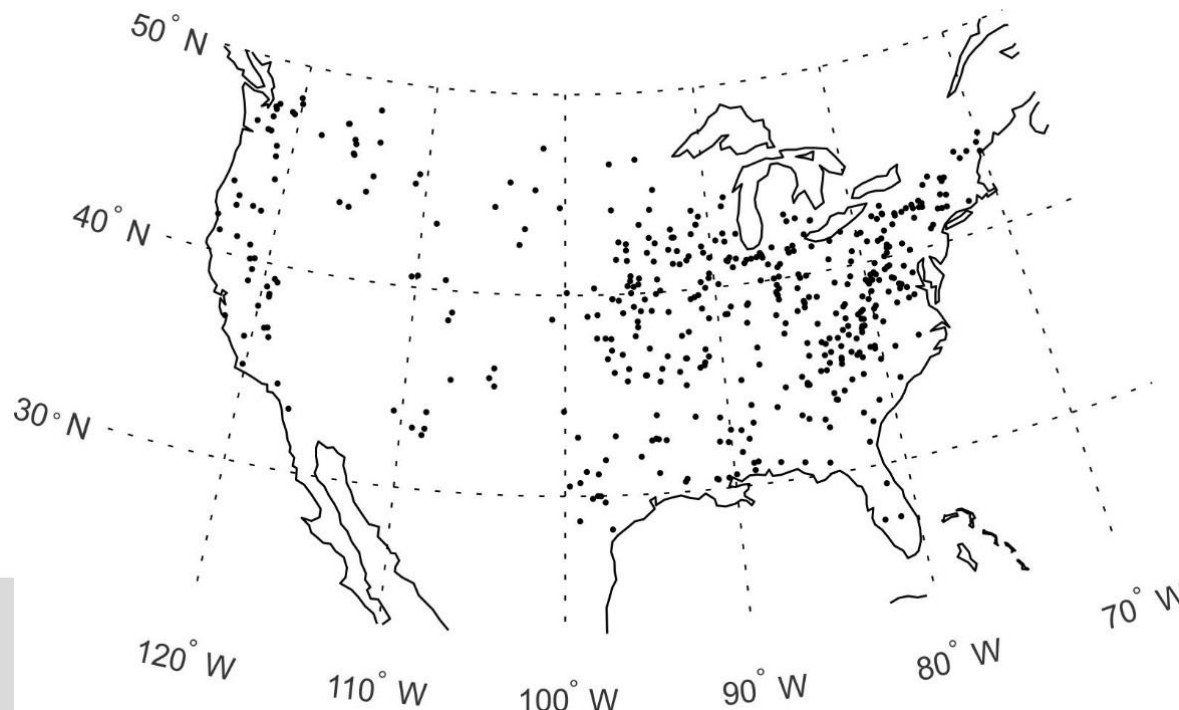
$$\frac{E}{\Phi} = f_\Phi \left(\frac{P}{\Phi}, \frac{E_0}{\Phi} \right) = f_\Phi(\gamma_P, \gamma_E)$$

γ_P – how much water can be stored with respect to P

γ_E – frequency of rainfall with respect to the frequency at which E_0 can deplete the storage

APPLICATION – MOPEX CATCHMENTS

- 438 catchments across the continental USA
- Data of P , E_0 , and Q ($E=P-Q$) in the period 1948-2003
 - 28 did not have at least 25 years of data of Q and E_0
 - 5 had $E > E_0$ (other factors are affecting the water balance)
 - 21 catchments are mostly (>80%) covered by wetlands, ice, and water bodies



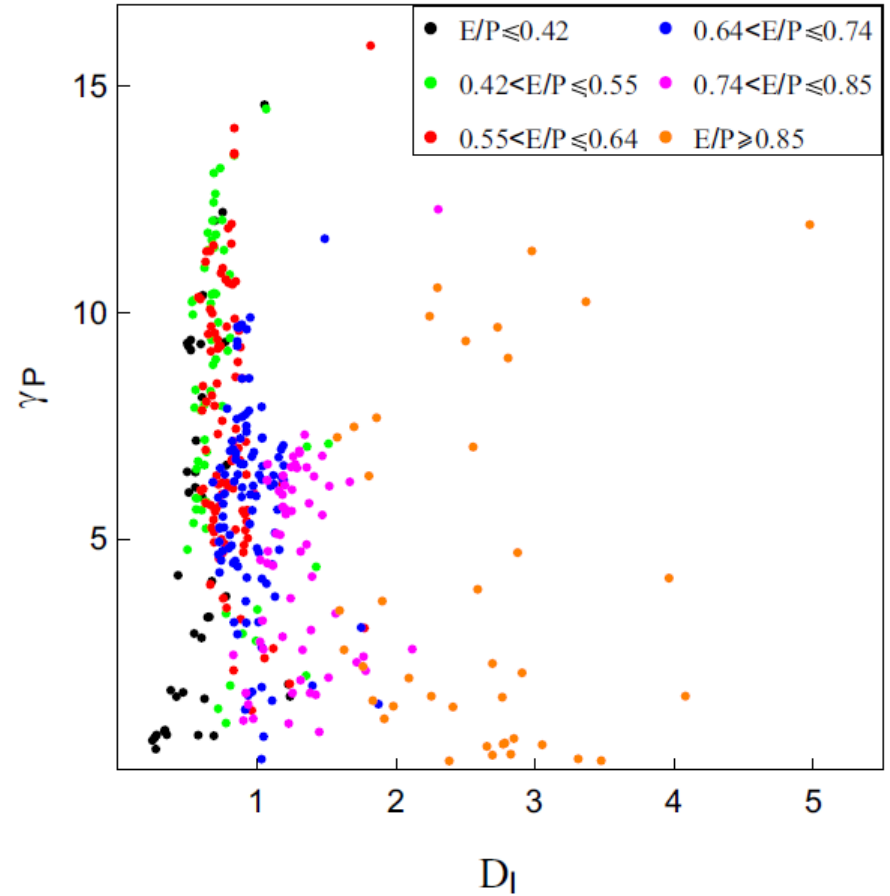
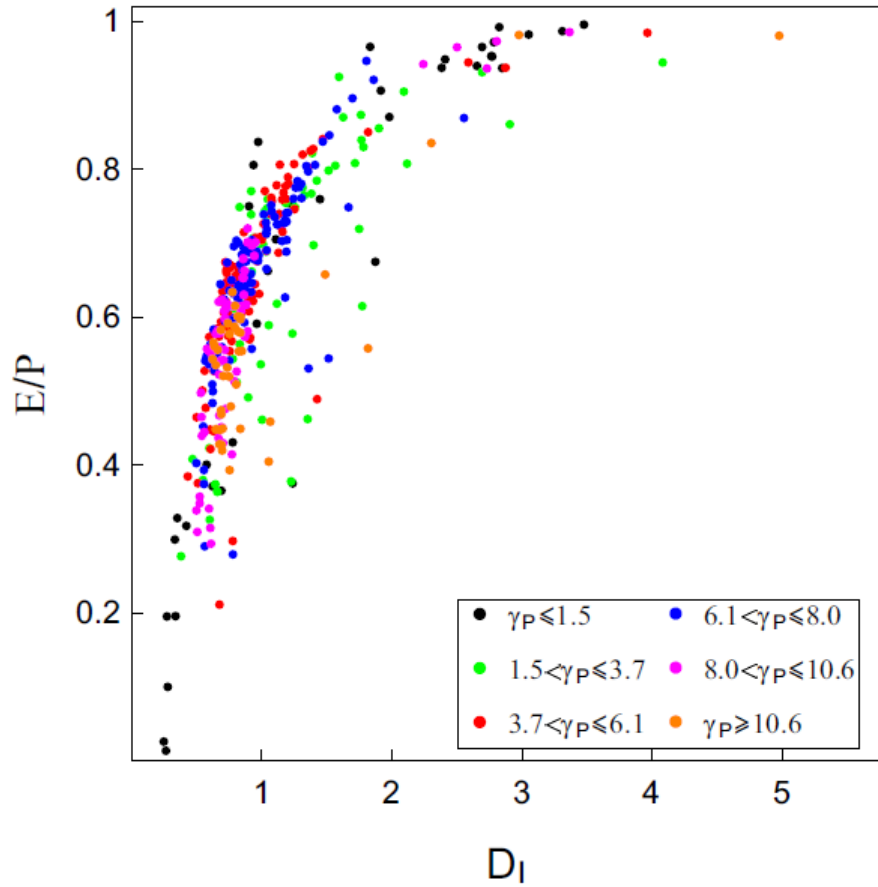
CALCULATION OF PARAMETERS

- Rainfall data
 - Average annual rainfall (P)
 - Average annual number of rainfall events (N_p)
- Potential evapotranspiration
 - Average annual potential evapotranspiration (E_0)
- Land cover (International Geosphere-Biosphere Programme, IGBP)
 - Maximum storage, ω_0

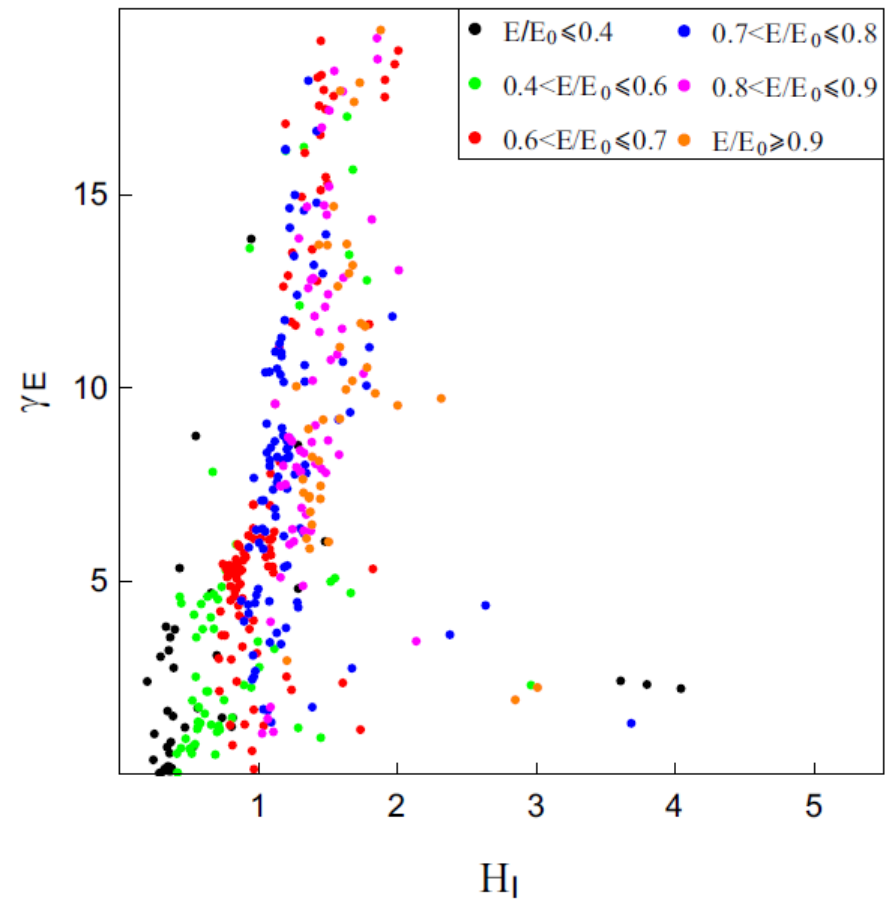
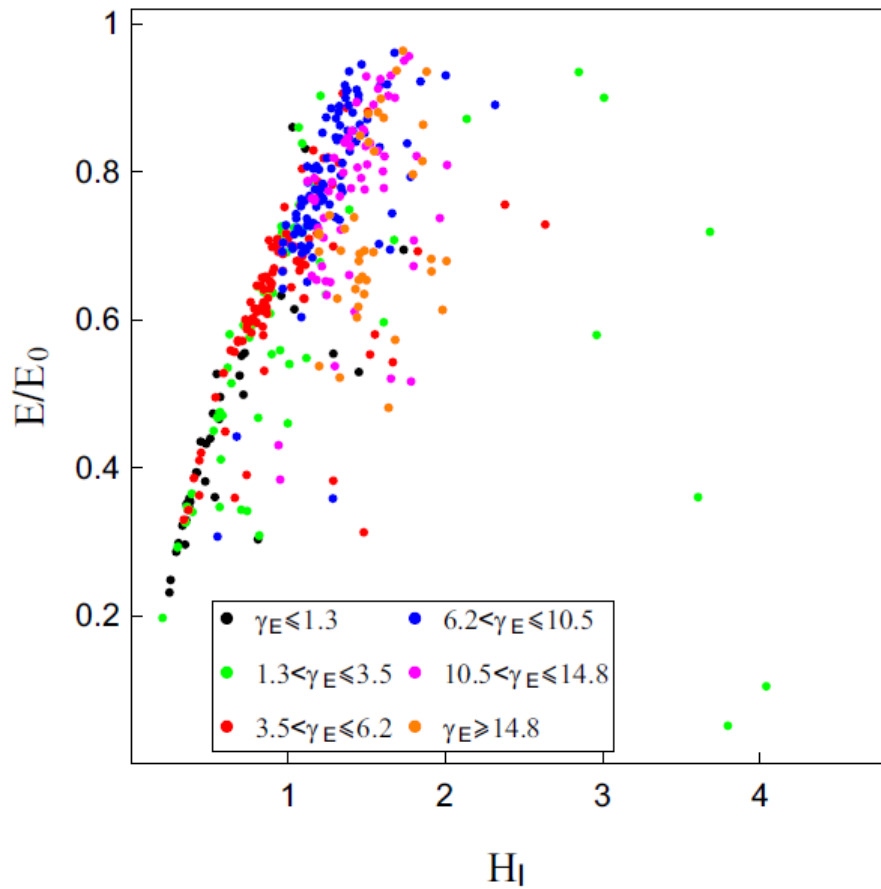
$$D_I = E_0/P \quad H_I = P/E_0$$

$$\Phi = N_p \omega_0 \quad \gamma_P = \Phi/P \quad \gamma_E = \Phi/E_0$$

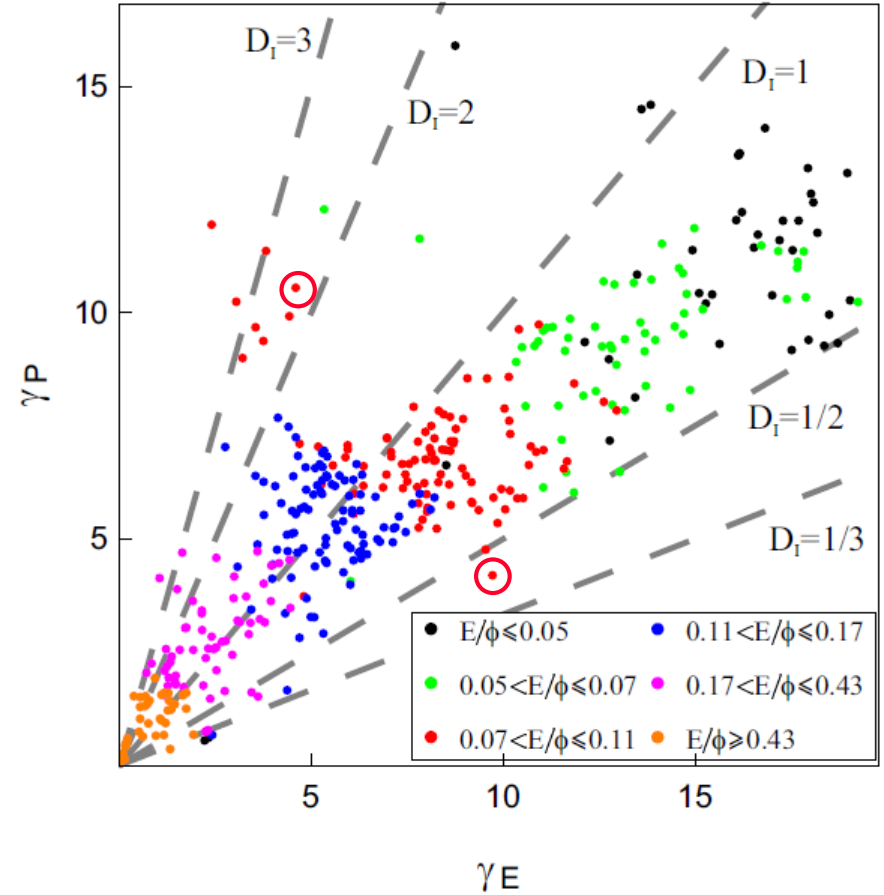
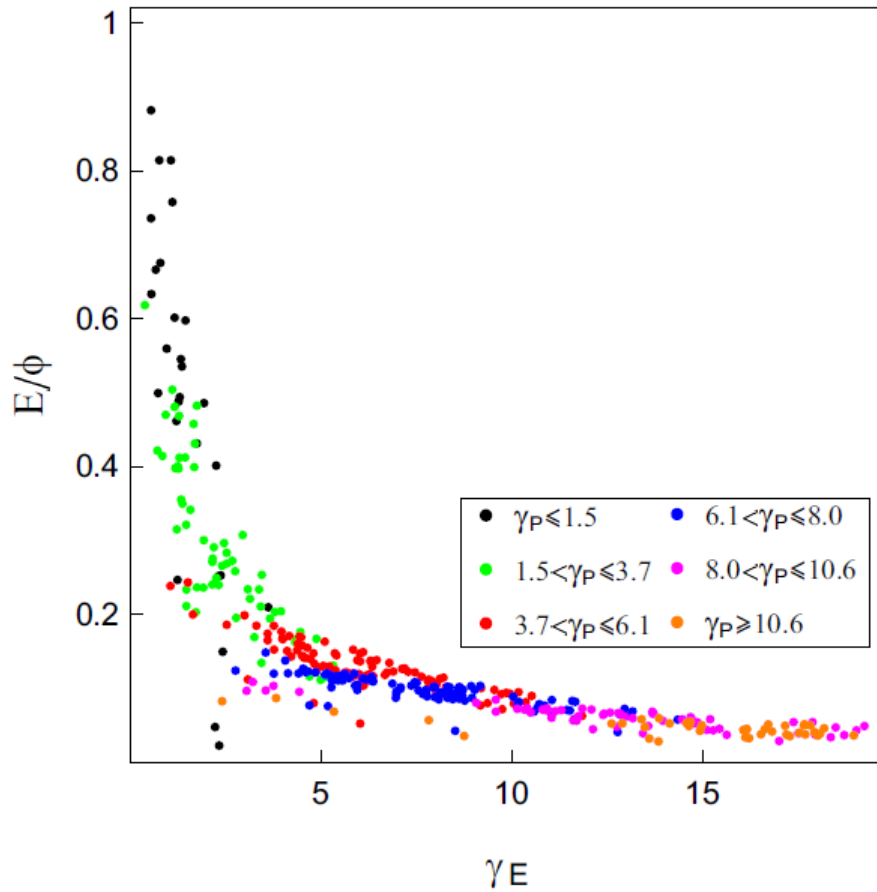
BUDYKO SPACE



TURC SPACE

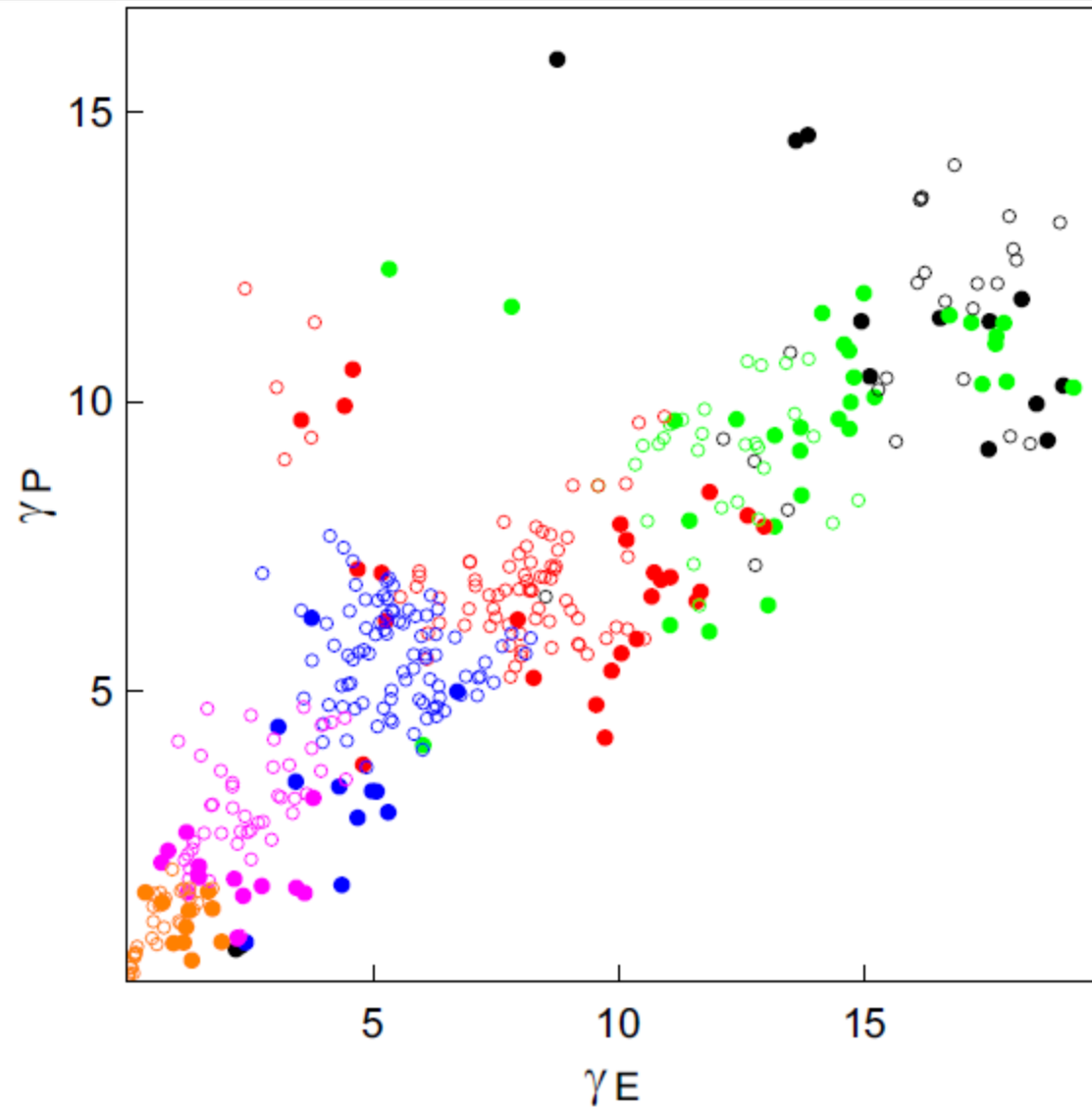


Φ -SPACE



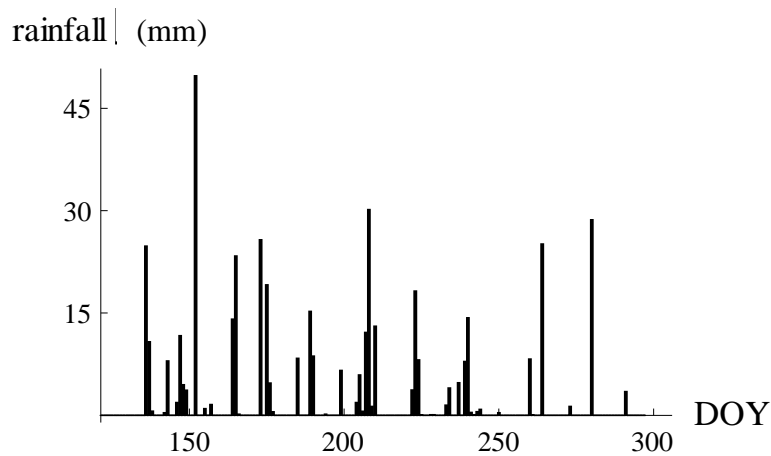
SLOPE

105 catchments
with slope steeper
than 10°

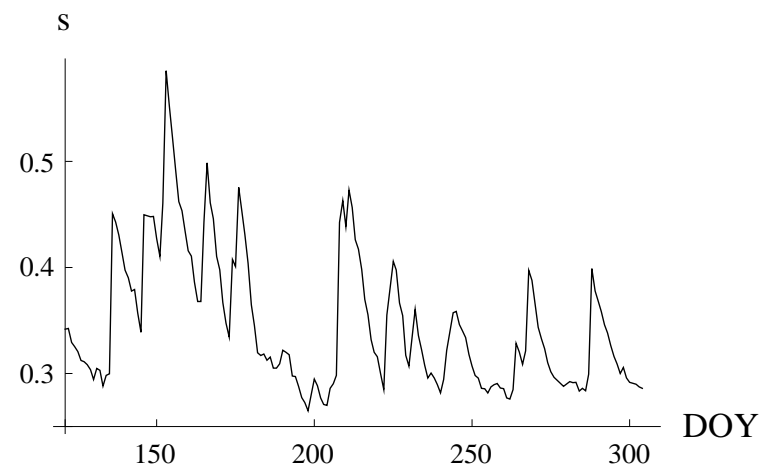


Stochastic model for daily soil water balance

Marked Poisson process (λ, α)



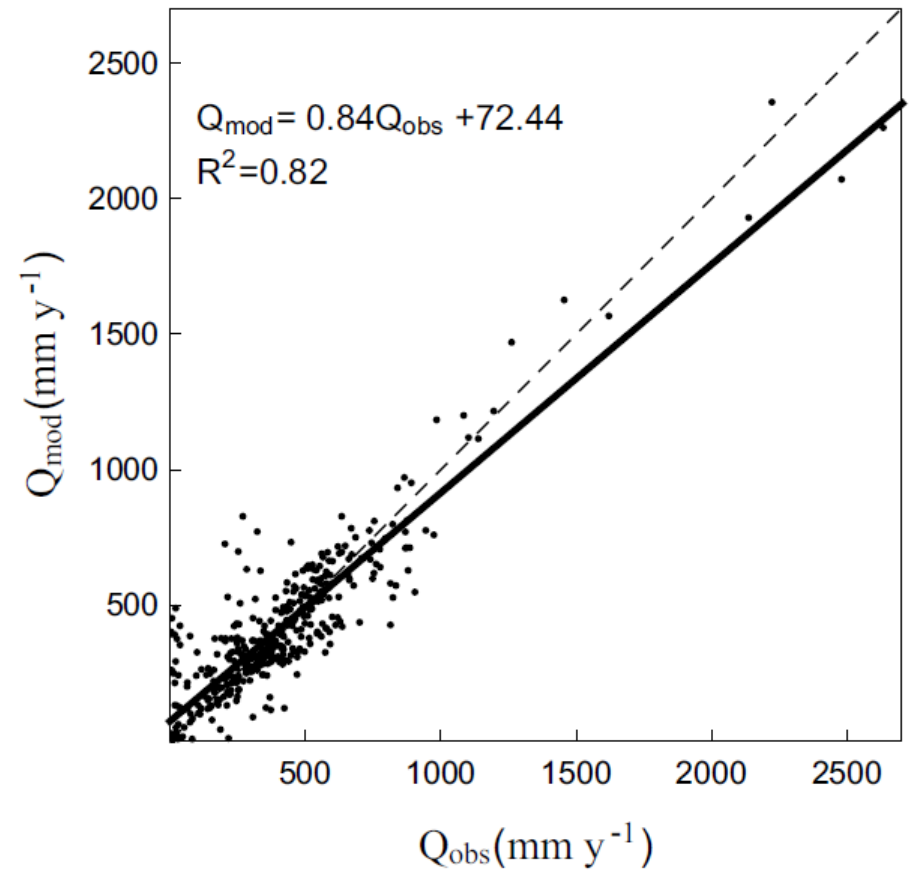
Filtered Poisson process (λ, α, η)



$$p(x) = \frac{N}{\eta} x^{\lambda/\eta-1} e^{-\gamma x} \longrightarrow p(x) = \frac{N}{\eta} x^{\lambda/\eta-1} e^{-\gamma x} \quad x = \frac{S - S_w}{S_{fc} - S_w}$$

MODEL

$$\frac{E}{\Phi} = \frac{1}{\gamma_P} - \frac{1}{\gamma_E} \frac{\gamma_P^{\gamma_E-1} e^{-\gamma_P}}{\Gamma(\gamma_E) - \Gamma(\gamma_E, \gamma_P)}$$



CONCLUSION

- New framework for long-term catchment water balance
 - **Climatic conditions** and key **catchment characteristics**
 - **Parameters** have **clear physical meaning**
 - Parameters can be **estimated from measurable environmental variables**
- Hydrologic spaces
 - The new framework includes **Budyko** and **Turc** as limits for large catchments
 - **Φ -space** helps classify catchments in relation to land use and rainfall (both amounts and frequency of occurrence)
- Future
 - Test against global data sets
 - Include changes in storage (i.e., short-term water balance)

